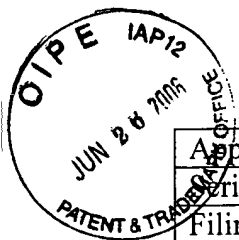


DFW

ATTORNEY DOCKET NO.: 2006579-0306 (CTX-091)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



| | | | |
|--------------|--|-----------|------|
| Applicant: | Schauser, <i>et al.</i> | Examiner: | TBD |
| Serial No.: | 10/709,142 | Art Unit: | 2661 |
| Filing Date: | April 15, 2004 | | |
| Title: | METHODS AND APPARATUS FOR SYNCHRONIZATION OF DATA-SET REPRESENTATIONS IN A BANDWIDTH-ADAPTIVE MANNER | | |

CERTIFICATE OF MAILING

I certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.


Name: Mary Wilson

Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, Applicant requests consideration of this Information Disclosure Statement.

Type of Statement

The present Information Disclosure Statement is:

- ☒ [X] An *original* Information Disclosure Statement; or
- ☐ [] A *supplemental* Information Disclosure Statement.

Compliance with 37 CFR § 1.97

The present Information Disclosure Statement is being filed:

- ☒ Pursuant to 37 CFR § 1.97(b); no fee or certification is required:
- ☐ Within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d);
 - ☐ Within three months of the date of entry of the national stage as set forth in § 1.491 in an international application;
 - ☒ Before the mailing of a first Office action on the merits; or
 - ☐ Before the mailing of a first Office action after the filing of a request for continued examination under § 1.114.
- ☐ Pursuant to 37 CFR § 1.97(c) after the dates listed above but before the mailing date of any of a final action under § 1.113, a notice of allowance under § 1.311, or an action that otherwise closes prosecution in the application; Applicant hereby *either*:
- ☐ Certifies that *either*:
 - ☐ each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement; or
 - ☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making

reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement; or

☐ Includes herewith the fee set forth in § 1.17(p),

☐ Pursuant to 37 CFR § 1.97(d), after the mailing date of any final action under § 1.113, a notice of allowance under § 1.311, or an action that otherwise closes prosecution in the application; Applicant hereby *both*:

☐ Certifies that *either*:

☐ each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement; or

☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement; and

☐ Includes herewith the fee set forth in § 1.17(p).

Content of the Information Disclosure Statement

Applicant hereby makes of record in the above-identified application the reference(s) listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

Applicant includes copies of references as indicated below:

- ☒ [X] A copy of each cited reference not indicated with an asterisk is included;
- ☐ [] Copies of references indicated with an asterisk on the attached form PTO-1449 are not included pursuant to 37 CFR § 1.98(a)(2)(ii);
- ☐ [] Copies of English translations of one or more non-English references are included.

Applicant hereby makes the following additional information of record in the above-identified application:

Applicant certifies that the Information Disclosure Statement *either*:

- ☒ [X] Does not contain non-English language citations;
- ☐ [] Includes one or more translations of a non-English citation; or
- ☐ [] Does contain non-English language citations.

Remarks

The submission of this Information Disclosure Statement should not be construed as a representation that a search has been made.

The submission of this Information Disclosure Statement shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in § 1.56(b) .

The submission of this Information Disclosure Statement shall not be construed as a representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 USC §102.

It is respectfully requested that:

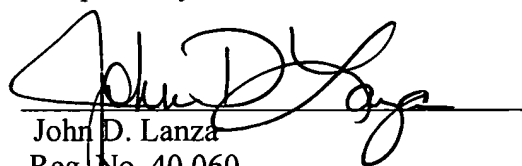
1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited patent(s) and publication(s) has (have) been fully considered by the Patent and Trademark Office during the examination of this application;
3. The citations for the patent(s) and publication(s) be printed on any patent which issues from this application; and
4. Applicant directs the Examiner's attention to the following related United States patent applications:

| Our Reference No. | Serial No. | Filing Date |
|---------------------------|------------|-------------|
| 2006579-0308 (CTX-092) | 10/709,141 | 4/15/2004 |

Notwithstanding any statements by Applicants, the Examiner is urged to form his or her own conclusions regarding the relevance of the cited reference(s).

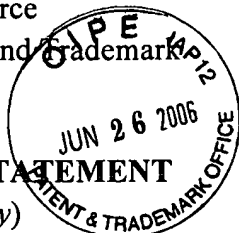
Respectfully submitted,

Dated: June 23, 2006


John D. Lanza
Reg. No. 40,060

PATENT GROUP
CHOATE, HALL & STEWART, LLP
Two International Place
Boston, Massachusetts 02110
(617) 248-5000
(617) 248-4000

| | | | |
|--|--|--|-------------------------------------|
| Form PTO-1449 (REV. 8-83) | U.S. Department of Commerce Patent and Trademark Office | Atty. Docket: 2006579-0306 (CTX-091) | In re Application No. 10/709,142 |
| INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) | | Applicant: Schauser, <i>et al.</i> | |
| | | Filing Date: April 15, 2004 | Group: 2661 |



U.S. PATENT DOCUMENTS

| Examiner's Initials | U.S. Patent No. | Applicant | Issue Date | Class | Subclass |
|------------------------|-----------------|-------------------------|-------------------|-------|----------|
| | 4,479,195 | Herr, <i>et al.</i> | October, 1984 | 370 | 466 |
| | 4,650,929 | Boerger, <i>et al.</i> | March, 1987 | 348 | 15 |
| | 4,748,618 | Brown, <i>et al.</i> | May, 1988 | 370 | 419 |
| | 4,956, 819 | Kannes | September, 1990 | 348 | 15 |
| | 4,995,071 | Weber, <i>et al.</i> | February, 1991 | 348 | 15 |
| | 5,072,442 | Todd | December, 1991 | 370 | 265 |
| | 5,252,056 | Puri, <i>et al.</i> | October, 1993 | 348 | 384 |
| | 5,315,633 | Champa | May, 1994 | 348 | 16 |
| | 5,357,511 | DiNapoli, <i>et al.</i> | October, 1994 | 370 | 263 |
| | 5,371,544 | Jacquin, <i>et al.</i> | December, 1994 | 348 | 422 |
| | 5,382,972 | Kannes | January, 1995 | 348 | 15 |
| | 5,392,223 | Caci | February, 1995 | 348 | 17 |
| | 5,392,284 | Sugiyama | February, 1995 | 370 | 465 |
| | 5,408,274 | Chang | April, 1995 | 348 | 700 |
| | 5,416,520 | Kuzma | May, 1995 | 348 | 384 |
| | 5,446,491 | Shibato, <i>et al.</i> | August, 1995 | 370 | 260 |
| | 5,838,664 | Polomski | November 17, 1998 | 370 | 263 |
| | 5,990,852 | Szamrej | November 23, 1999 | 345 | 2 |

U.S. PATENT APPLICATIONS

| Examiner's Initials: | Serial Number: | Applicant: | Filing Date: | Group: | Art Unit: |
|-------------------------|----------------|------------|--------------|--------|-----------|
| | | | | | |

FOREIGN PATENT DOCUMENTS

| Examiner's Initials | Document No. | Country | Date | Translation | |
|------------------------|--------------|---------|------|-------------|----|
| | | | | Yes | No |
| | | | | | |

OTHER DOCUMENTS

| Examiner's Initials | Citation (Including Author, Title, Date, Pertinent Pages, Etc.) |
|------------------------|---|
| | |

| | | | | | | | |
|---|--|--|--|--|--|-------------------------------------|--|
| Form PTO-1449 (REV. 8-83) | | U.S. Department of Commerce Patent and Trademark Office | | Atty. Docket: 2006579-0306 (CTX-091) | | In re Application No. 10/709,142 | |
| INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) | | | | Applicant: Schauser, <i>et al.</i> | | | |
| | | | | Filing Date: April 15, 2004 | | Group: 2661 | |
| | Gharavi, H. and Partovi, M.H., "Video Coding And Distribution Over ATM For Multipoint Teleconferencing," <i>IEE</i> , 1-7, 1993. | | | | | | |
| | Horn, <i>et al.</i> , "A Standards-Based Multimedia Conferencing Bridge," <i>AT&T Techn. Jour.</i> , 41-49, 1993. | | | | | | |
| | Krause, R., "Indo, H.320 Silicon Pursued," <i>Electronic News</i> , 40 (2023): 1-2, 1994. | | | | | | |
| | "Video Code Chip Set Provides MPEG, p.*64 and JPEG Compliance," <i>Electrical Design News</i> , 37 (9): 103-104, 1992. | | | | | | |
| | International Searching Authority, "International Search Report," PCT Application No. PCT/US05/12078, mailed on January 25, 2006, 3 pgs. | | | | | | |
| | International Searching Authority, "Written Opinion," PCT Application No. PCT/US05/12078, mailed on January 25, 2006, 7 pgs. | | | | | | |
| EXAMINER | DATE CONSIDERED | | | | | | |
| EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | | | | | | | |